ANNEX 4

DESCRIPTION OF ARRANGEMENTS FOR A ROSTER OF EXPERTS ON MARINE GEOENGINEERING IN THE CONSULTATION PROCESS
(WITH REGARD TO PARAGRAPH 12 OF ANNEX 5 TO THE LONDON PROTOCOL)

1. A roster of international independent experts will be established and administered by the LC/LP Secretariat. The roster is intended to provide Parties with experts capable of advising on assessing marine geoengineering activities listed under annex 4 to the LP or under consideration for listing.

2. Contracting Parties to the London Protocol and to the London Convention as well as observers may nominate experts to the roster, independent of whether the experts are nationals of the respective state or not. Since the experts should be independent, they do not represent their State and will act, when asked for advice, only in their personal expert capacity.

3. For the nomination, the "Expert Nomination Form", set out in annex 1, should be used.

4. If concerns are raised about a nominated expert, then the Scientific Groups could review whether that expert seems to be appropriate to be on the roster.

5. The term "independent expert" in paragraph 12 of annex 5 to London Protocol should be understood to mean that the expert is not formally involved in the permitting procedure and that there is no conflict of interest.

6. Experts consulted for advice should submit the "Declaration" shown in annex 2 in order to confirm the independence of the expert.

7. The term "international expert" in paragraph 12 of annex 5 to London Protocol could be understood as being fulfilled, if the nominee has an international repute as an expert, which s/he has achieved, for example, by subject-related publications in peer-reviewed journals.

8. It is the right of the permitting Contracting Party to the London Protocol (according to paragraph 12, sentence 1 of annex 5) or the potentially affected country (according to paragraph 12, sentence 4 of annex 5) to select experts out of the roster. The criteria of "independent" and "international" in the proposed understanding should be complied with. However, Contracting Parties to the London Protocol or potentially affected countries are not limited to those experts being on the roster and can consider advice from additional experts, if they so wish. If both permitting Contracting Parties and affected countries plan to involve experts on a single proposed activity, it is recommended that the selection should be coordinated.

9. Paragraph 12 of annex 5 allows for both options, the involvement of individual experts or advisory group of experts. The decision is within the discretion of the Contracting Party to the London Protocol and/or the potentially affected country selecting the experts. The number of experts involved should be appropriate to the expertise needed. Depending on the case under consideration, it could be desirable and appropriate, if the experts could reach consensus on their advice, however, this is not a mandatory requirement.
# ANNEX 1

**EXPERT NOMINATION FORM – OCEAN FERTILIZATION**

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<td><strong>1</strong></td>
<td><strong>Nominating Entity</strong></td>
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<td><strong>2</strong></td>
<td><strong>Date of Nomination</strong></td>
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<td><strong>3</strong></td>
<td><strong>Nominated Expert</strong></td>
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<td>Contact details:</td>
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<td><strong>4</strong></td>
<td><strong>Language skills</strong></td>
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<td>☐ English</td>
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<td>□ Other (specify: __________________________)</td>
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<td><strong>5</strong></td>
<td><strong>General field of expertise</strong></td>
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<td>Please state your general field of expertise:</td>
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<td><strong>Description of expertise</strong></td>
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<td>Please describe briefly:</td>
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<td>6.1 Your general field of scientific, technical, social or economic expertise, e.g. if you are a scientific expert in natural marine sciences, please indicate whether your main interest is in physical, chemical, geological or biological oceanography:</td>
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<td>6.2 Your area(s) of specific expertise in relation to marine geoengineering and in particular to ocean fertilization:</td>
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7  Research including modelling, laboratory or field experiments

Have you been involved in the planning and/or the implementation of research including modelling, laboratory or field experiments related to ocean fertilization?

☐ Yes  ☐ No

If yes, please elaborate on your role:

________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

8  Potential impacts of marine geoengineering activities

Have you worked on, or considered in your work, the potential impacts of marine geoengineering, particularly of ocean fertilization, e.g. on the marine environment, on natural marine resources or on other uses and users of the sea (including social and economic considerations)?

☐ Yes  ☐ No

If yes, please provide further information on your work or relevant considerations:

________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

9  Publications

Please list the publications most relevant to the information you provided in point 6 and 7 above.

1  ________________________________________________________________
2  ________________________________________________________________
3  ________________________________________________________________
4  ________________________________________________________________
5  ________________________________________________________________

10  Signature of nominated expert

______________________________
(Name and Date)
ANNEX 2

DECLARATION

1 Expert
Name: _____________________________________
Contact details: _____________________________________
___________________________________
___________________________________
Email: _____________________________________

2 Proposed activity for which independent expert advice is being sought according to paragraph 12, annex 5, London Protocol cf. resolution LP.4(8)

__________________________________________________________________________
__________________________________________________________________________
__________________________________________________________________________
__________________________________________________________________________

I hereby confirm that:

• I am not involved in the permitting procedure concerning the proposed activity mentioned in paragraph 2 above.

• I was not involved in the development or preparation of the proposed activity mentioned under paragraph 2 above.

• I will not be involved in the conduct of the proposed activity mentioned in paragraph 2 above.

• I have no financial or economic interests in the proposed activity, or in the entity/entities proposing the activity.

Signature

___________________________________
(Name and Date)

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