ANNEX 16

RESOLUTION MEPC.232(65)

Adopted on 17 May 2013

2013 INTERIM GUIDELINES FOR DETERMINING MINIMUM PROPULSION POWER TO MAINTAIN THE MANOEUVRABILITY OF SHIPS IN ADVERSE CONDITIONS

THE MARINE ENVIRONMENT PROTECTION COMMITTEE,

RECALLING Article 38(a) of the Convention on the International Maritime Organization concerning the functions of the Marine Environment Protection Committee (the Committee) conferred upon it by international conventions for the prevention and control of marine pollution,

RECALLING ALSO that, at its sixty-second session, the Committee adopted, by resolution MEPC.203(62), amendments to the annex of the Protocol of 1997 to amend the International Convention for the Prevention of Pollution from Ships, 1973, as modified by the Protocol of 1978 relating thereto (inclusion of regulations on energy efficiency for ships in MARPOL Annex VI),

NOTING that the amendments to MARPOL Annex VI adopted at its sixty-second session by inclusion of a new chapter 4 for regulations on energy efficiency for ships, entered into force on 1 January 2013,

NOTING ALSO that regulation 21.5 of MARPOL Annex VI, as amended, requires that the installed propulsion power shall not be less than the propulsion power needed to maintain the manœuvrability of the ship under adverse conditions as defined in the guidelines,

RECOGNIZING that the amendments to MARPOL Annex VI requires the adoption of relevant guidelines for smooth and uniform implementation of the regulations and to provide sufficient lead time for industry to prepare,

HAVING CONSIDERED, at its sixty-fifth session, the draft 2013 Interim Guidelines for determining minimum propulsion power to maintain the manœuvrability of ships in adverse conditions,

1. ADOPTS the 2013 Interim Guidelines for determining minimum propulsion power to maintain the manœuvrability of ships in adverse conditions, as set out at annex to the present resolution;

2. INVITES Administrations to take the annexed Guidelines into account when developing and enacting national laws which give force to and implement provisions set forth in regulation 20 of MARPOL Annex VI, as amended;

3. REQUESTS the Parties to MARPOL Annex VI and other Member Governments to bring the annexed Guidelines related to the Energy Efficiency Design Index (EEDI) to the attention of shipowners, ship operators, shipbuilders, ship designers and any other interested groups;

4. AGREES to keep these Guidelines under review in light of the experience gained; and

5. REVOKES the Interim Guidelines circulated by MSC-MEPC.2/Circ.11, as from this date.
ANNEX

2013 INTERIM GUIDELINES FOR DETERMINING MINIMUM PROPULSION POWER TO MAINTAIN THE MANOEUVRABILITY OF SHIPS IN ADVERSE CONDITIONS

0 Purpose

The purpose of these interim guidelines is to assist Administrations and recognized organizations in verifying that ships, complying with EEDI requirements set out in regulations on Energy Efficiency for Ships, have sufficient installed propulsion power to maintain the manoeuvrability in adverse conditions, as specified in regulation 21.5 in chapter 4 of MARPOL Annex VI.

1 Definition

1.1 “Adverse conditions" mean sea conditions with the following parameters:

<table>
<thead>
<tr>
<th>Significant wave height $h_s$, m</th>
<th>Peak wave period $T_p$, s</th>
<th>Mean wind speed $V_{sw}$, m/s</th>
</tr>
</thead>
<tbody>
<tr>
<td>5.5</td>
<td>7.0 to 15.0</td>
<td>19.0</td>
</tr>
</tbody>
</table>

JONSWAP sea spectrum with the peak parameter of 3.3 is to be considered for coastal waters.

1.2 The following adverse condition should be applied to ships defined as the following threshold value of ship size.

<table>
<thead>
<tr>
<th>Ship length, m</th>
<th>Significant wave height $h_s$, m</th>
<th>Peak wave period $T_p$, s</th>
<th>Mean wind speed $V_{sw}$, m/s</th>
</tr>
</thead>
<tbody>
<tr>
<td>Less than 200</td>
<td>4.0</td>
<td>7.0 to 15.0</td>
<td>15.7</td>
</tr>
<tr>
<td>$200 \leq L_{pp} \leq 250$</td>
<td>Parameters linearly interpolated depending on ship's length</td>
<td></td>
<td></td>
</tr>
<tr>
<td>More than $L_{pp} = 250$</td>
<td>Refer to paragraph 1.1</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2 Applicability

2.1 These guidelines should be applied in the case of all new ships of types as listed in table 1 of appendix required to comply with regulations on Energy Efficiency for Ships according to regulation 21 of MARPOL Annex VI.

2.2 Notwithstanding the above, these guidelines should not be applied to the ships with un-conventional propulsion system such as pod propulsion.

2.3 These guidelines are intended for ships in unrestricted navigation; for other cases, the Administration should determine appropriate guidelines, taking the operational area and relevant restrictions into account.

* These Interim Guidelines are applied to ships required to comply with regulations on Energy Efficiency for Ships according to regulation 21 of MARPOL Annex VI during Phase 0 (i.e. for those ship types as in table 1 of appendix with the size of equal or more than 20,000 DWT).
3 Assessment procedure

3.1 The assessment can be carried out at two different levels as listed below:

.1 Minimum power lines assessment; and
.2 Simplified assessment.

3.2 The ship should be considered to have sufficient power to maintain the manoeuvrability in adverse conditions if it fulfils one of these assessment levels.

4 Assessment level 1 – minimum power lines assessment

4.1 If the ship under consideration has installed power not less than the power defined by the minimum power line for the specific ship type, the ship should be considered to have sufficient power to maintain the manoeuvrability in adverse conditions.

4.2 The minimum power lines for the different types of ships are provided in the appendix.

5 Assessment level 2 – simplified assessment

5.1 The methodology for the simplified assessment is provided in the appendix.

5.2 If the ship under consideration fulfils the requirements as defined in the simplified assessment, the ship should be considered to have sufficient power to maintain the manoeuvrability in adverse conditions.

6 Documentation

6.1 Test documentation should include at least, but not be limited to, a:

.1 description of the ship’s main particulars;
.2 description of the ship’s relevant manoeuvring and propulsion systems;
.3 description of the assessment level used and results; and
.4 description of the test method(s) used with references, if applicable.

* * *
Appendix

ASSESSMENT PROCEDURES TO MAINTAIN THE MANOEUVRABILITY UNDER ADVERSE CONDITIONS, APPLICABLE DURING PHASE 0 OF THE EEDI IMPLEMENTATION

1 Scope

1.1 The procedures as described below are applicable during Phase 0 of the EEDI implementation as defined in regulation 21 of MARPOL Annex VI (see also paragraph 0 – Purpose of these interim guidelines).

2 Minimum power lines

2.1 The minimum power line values of total installed MCR, in kW, for different types of ships should be calculated as follows:

Minimum Power Line Value = \( a \times (DWT) + b \)

Where:

\( DWT \) is the deadweight of the ship in metric tons; and
\( a \) and \( b \) are the parameters given in table 1 for tankers, bulk carriers and combination carriers.

Table 1: Parameters \( a \) and \( b \) for determination of the minimum power line values for the different ship types

<table>
<thead>
<tr>
<th>Ship Type</th>
<th>( a )</th>
<th>( b )</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bulk Carriers</td>
<td>0.0687</td>
<td>2924.4</td>
</tr>
<tr>
<td>Tankers</td>
<td>0.0689</td>
<td>3253.0</td>
</tr>
<tr>
<td>Combination Carriers</td>
<td>see tankers above</td>
<td></td>
</tr>
</tbody>
</table>

The total installed MCR of all main propulsion engines should not be less than the minimum power line value, where MCR is the value specified on the EIAPP Certificate.

3 Simplified assessment

3.1 The simplified assessment procedure is based on the principle that, if the ship has sufficient installed power to move with a certain advance speed in head waves and wind, the ship will also be able to keep course in waves and wind from any other direction. The minimum ship speed of advance in head waves and wind is thus selected depending on ship design, in such a way that the fulfilment of the ship speed of advance requirements means fulfilment of course-keeping requirements. For example, ships with larger rudder areas will be able to keep course even if the engine is less powerful; similarly, ships with a larger lateral windage area will require more power to keep course than ships with a smaller windage area.

3.2 The simplification in this procedure is that only the equation of steady motion in longitudinal direction is considered; the requirements of course-keeping in wind and waves are taken into account indirectly, by adjusting the required ship speed of advance in head wind and waves.
3.3 The assessment procedure consists of two steps:

.1 definition of the required advance speed in head wind and waves, ensuring course-keeping in all wave and wind directions; and

.2 assessment whether the installed power is sufficient to achieve the required advance speed in head wind and waves.

**Definition of required ship speed of advance**

3.4 The required ship advance speed through the water in head wind and waves, \( V_s \), is set to the larger of:

.1 minimum navigational speed, \( V_{\text{nav}} \); or

.2 minimum course-keeping speed, \( V_{\text{ck}} \).

3.5 The minimum navigational speed, \( V_{\text{nav}} \), facilitates leaving coastal area within a sufficient time before the storm escalates, to reduce navigational risk and risk of excessive motions in waves due to unfavourable heading with respect to wind and waves. The minimum navigational speed is set to 4.0 knots.

3.6 The minimum course-keeping speed in the simplified assessment, \( V_{\text{ck}} \), is selected to facilitate course-keeping of the ships in waves and wind from all directions. This speed is defined on the basis of the reference course-keeping speed \( V_{\text{ck,ref}} \), related to ships with the rudder area \( A_R \) equal to 0.9 per cent of the submerged lateral area corrected for breadth effect, and an adjustment factor taking into account the actual rudder area:

\[
V_{ck} = V_{ck,ref} - 10.0 \times (A_R\% - 0.9) \tag{1}
\]

where \( V_{ck} \) in knots, is the minimum course-keeping speed, \( V_{ck,ref} \) in knots, is the reference course-keeping speed, and \( A_R\% \) is the actual rudder area, \( A_R \), as percentage of the submerged lateral area of the ship corrected for breadth effect, \( A_{LS,cor} \), calculated as \( A_{LS,cor} = A_R/A_{LS} \times 100\% \). The submerged lateral area corrected for breadth effect is calculated as \( A_{LS,cor} = L_{pp}T_m(1.0+25.0(B_{WL}/L_{pp})^2) \), where \( L_{pp} \) is the length between perpendiculars in m, \( B_{WL} \) is the water line breadth in m and \( T_m \) is the draft a midship in m. In case of high-lift rudders or other alternative steering devices, the equivalent rudder area to the conventional rudder area is to be used.

3.7 The reference course-keeping speed \( V_{ck, ref} \) for bulk carriers, tankers and combination carriers is defined, depending on the ratio \( A_{FW}/A_{LW} \) of the frontal windage area, \( A_{FW} \), to the lateral windage area, \( A_{LW} \), as follows:

.1 9.0 knots for \( A_{FW}/A_{LW} = 0.1 \) and below and 4.0 knots for \( A_{FW}/A_{LW} = 0.40 \) and above; and

.2 linearly interpolated between 0.1 and 0.4 for intermediate values of \( A_{FW}/A_{LW} \).

**Procedure of assessment of installed power**

3.8 The assessment is to be performed in maximum draught conditions at the required ship speed of advance, \( V_s \), defined above. The principle of the assessment is that the required propeller thrust, \( T \) in N, defined from the sum of bare hull resistance in calm water
\( R_{cw} \), resistance due to appendages \( R_{app} \), aerodynamic resistance \( R_{air} \), and added resistance in waves \( R_{aw} \), can be provided by the ship's propulsion system, taking into account the thrust deduction factor \( t \):

\[
T = (R_{cw} + R_{aw} + R_{sw} + R_{app})/(1 - t)
\]  

(2)

3.9 The calm-water resistance for bulk carriers, tankers and combination carriers can be calculated neglecting the wave-making resistance as

\[
R_{cw} = (1 + k)C_F\frac{1}{2}\rho S V_s^2,
\]

where \( k \) is the form factor, \( C_F = \frac{0.075}{(\log_{10} Re - 2)^2} \) is the frictional resistance coefficient, \( Re = \frac{V_s L_{pp}}{\nu} \) is the Reynolds number, \( \rho \) is water density in \( \text{kg/m}^3 \), \( S \) is the wetted area of the bare hull in \( \text{m}^2 \), \( V_s \) is the ship advance speed in m/s, and \( \nu \) is the kinematic viscosity of water in \( \text{m}^2/\text{s} \).

3.10 The form factor \( k \) should be obtained from model tests. Where model tests are not available, the empirical formula below may be used:

\[
k = -0.095 + 25.6 \frac{C_b}{(L_{pp}/B_{wl})^2 (B_{wl}/T)}
\]

(3)

where \( C_b \) is the block coefficient based on \( L_{pp} \).

3.11 Aerodynamic resistance can be calculated as

\[
R_{air} = C_{air} \frac{1}{2} \rho_a A_F V_{w,rel}^2,
\]

where \( C_{air} \) is the aerodynamic resistance coefficient, \( \rho_a \) is the density of air in \( \text{kg/m}^3 \), \( A_F \) is the frontal windage area of the hull and superstructure in \( \text{m}^2 \), and \( V_{w,rel} \) is the relative wind speed in m/s, defined by the adverse conditions in paragraph 1.1 of the interim guidelines, \( V_w \), added to the ship advance speed, \( V_s \). The coefficient \( C_{air} \) can be obtained from model tests or empirical data. If none of the above is available, the value 1.0 is to be assumed.

3.12 The added resistance in waves, \( R_{aw} \), defined by the adverse conditions and wave spectrum in paragraph 1 of the interim guidelines, is calculated as:

\[
R_{aw} = 2\int_{0}^{\infty} R_{aw}(V_s, \omega) \frac{S_{\zeta_a^2}(\omega)}{\zeta_a^2} d\omega
\]

(4)

where \( R_{aw}(V_s, \omega) / \zeta_a^2 \) is the quadratic transfer function of the added resistance, depending on the advance speed \( V_s \) in m/s, wave frequency \( \omega \) in rads/s, the wave amplitude, \( \zeta_a \) in m and the wave spectrum, \( S_{\zeta_a^2} \) in \( \text{m}^2/\text{s} \). The quadratic transfer function of the added resistance can be obtained from the added resistance test in regular waves at the required ship advance speed \( V_s \) as per ITTC procedures 7.5-02 07-02.1 and 7.5-02 07-02.2, or from equivalent method verified by the Administration.

3.13 The thrust deduction factor \( t \) can be obtained either from model tests or empirical formula. Default conservative estimate is \( t = 0.7w \), where \( w \) is the wake fraction. Wake fraction \( w \) can be obtained from model tests or empirical formula; default conservative estimates are given in table 2.
Table 2: Recommended values for wake fraction \( w \)

<table>
<thead>
<tr>
<th>Block coefficient</th>
<th>One propeller</th>
<th>Two propellers</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.5</td>
<td>0.14</td>
<td>0.15</td>
</tr>
<tr>
<td>0.6</td>
<td>0.23</td>
<td>0.17</td>
</tr>
<tr>
<td>0.7</td>
<td>0.29</td>
<td>0.19</td>
</tr>
<tr>
<td>0.8 and above</td>
<td>0.35</td>
<td>0.23</td>
</tr>
</tbody>
</table>

3.14 The required advance coefficient of the propeller is found from the equation:

\[
T = \rho u_a^2 D_p^2 K_T (J) / J^2
\]  

where \( D_p \) is the propeller diameter, \( K_T (J) \) is the open water propeller thrust coefficient, \( J = u_a / n D_p \), and \( u_a = V_s (1 - w) \). J can be found from the curve of \( K_T(J)/J^2 \).

3.15 The required rotation rate of the propeller, \( n \), in revolutions per second, is found from the relation:

\[
n = u_a / (J D_p) \]

3.16 The required delivered power to the propeller at this rotation rate \( n \), \( P_D \) in watts, is then defined from the relation:

\[
P_D = 2 \pi n^3 D_p^2 K_T (J)
\]

where \( K_T(J) \) is the open water propeller torque coefficient curve. Relative rotative efficiency is assumed to be close to 1.0.

3.17 For diesel engines, the available power is limited because of the torque-speed limitation of the engine, \( Q \leq Q_{\text{max}}(n) \), where \( Q_{\text{max}}(n) \) is the maximum torque that the engine can deliver at the given propeller rotation rate \( n \). Therefore, the required minimum installed MCR is calculated taking into account:

.1 torque-speed limitation curve of the engine which is specified by the engine manufacturer; and

.2 transmission efficiency \( \eta_s \) which is to be assumed 0.98 for aft engine and 0.97 for midship engine, unless exact measurements are available.

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